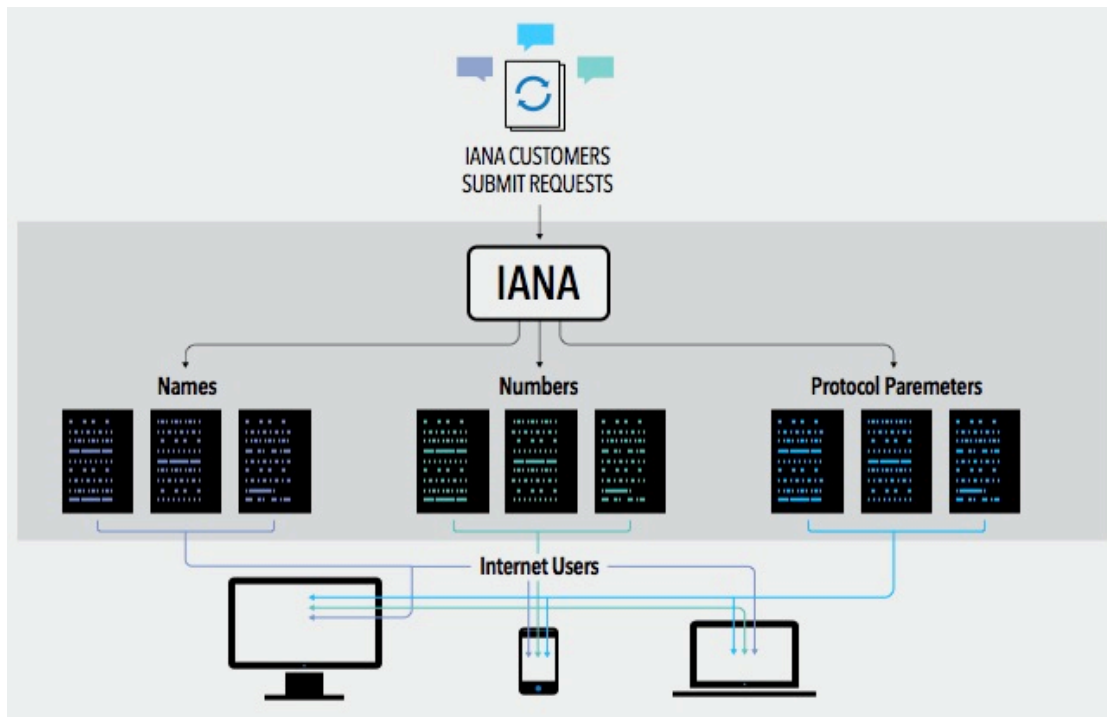# IANA Stewardship Transition & Enhancing ICANN Accountability

What are the IANA Functions?

The IANA Functions evolved in support of the Internet Engineering Task Force, and initially funded via research projects supported by the U. S. Department of Defense, Advance Research Projects Agency.

These functions include:

- The coordination of the assignment of technical Internet protocol parameters

- The administration of certain responsibilities associated with Internet DNS Root zone management

- The allocation of Internet IP addresses

ICANN was created to perform the IANA Functions and has done so pursuant to a no-cost contract with the Department of Commerce for over 15 years

Transition Requirements set by NTIA

NTIA has stated that the transition proposal must have broad community support and address the following four principles:

- Support and enhance the multistakeholder model

- Maintain the security, stability and resiliency of the Internet DNS

- Meet the needs and expectations of the global customers and partners of the IANA services

- Maintain the openness of the Internet

NTIA also specified that it will **not** accept a proposal that replaces the NTIA role with a government-led or intergovernmental organization solution.

Two Parallel Processes

The community developed and is following two parallel processes:

IANA Stewardship Transition
Focused on delivering a proposal to transition the stewardship of the IANA functions to the multistakeholder community

Enhancing ICANN Accountability
Focused on ensuring that ICANN remains accountable in the absence of its historical contractual relationship with the U.S. Government

To drive the processes, the community created **multilayered**, **transparent** and **diverse** working groups to foster discussion and within those groups, has developed working methods and systems for determining consensus

An Unprecedented Multistakeholder Effort

MAJOR WORKING GROUP EFFORTS

800+ Working Hours in Meetings

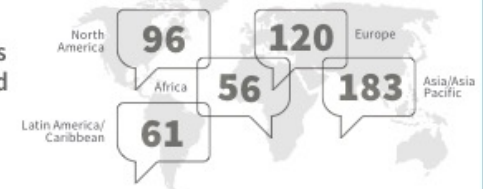**33,100+** Total Mailing List Exchanges

600+ Total Calls/Meetings

A SUPPORTING GLOBAL DISCUSSION

More than 1,100 Events around the world where the IANA transition was discussed, debated, organized and planned

* 590+ Webinars — Between March 2014 and March 2016

North America **96**
Europe **120**
Africa **56**
Asia/Asia Pacific **183**
Latin America/Caribbean **61**

ICG + CCWG ACCOUNTABILITY

■ **ICG**
■ **CCWG-Accountability**

30 Members

203 Total Participants
28 Members
175 Participants
111 Mailing List Observers

Number Resources **CRISP Team**
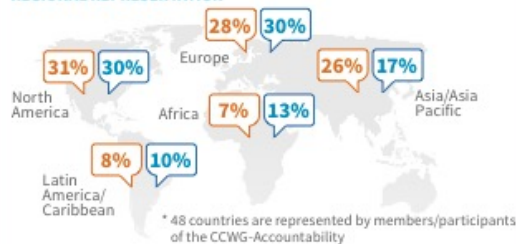**15** Members
= 3 Members x 5 RIRs

Protocol Parameters **IANAPLAN**
2,250 Mailing List Exchanges

Domain Names **CWG-Stewardship**
153 Members & Participants
152 Calls and Meetings

REGIONAL REPRESENTATION

Europe **28% 30%**
North America **31% 30%**
Asia/Asia Pacific **26% 17%**
Africa **7% 13%**
Latin America/Caribbean **8% 10%**

* 48 countries are represented by members/participants of the CCWG-Accountability

ORGANIZATIONAL STAKEHOLDER REPRESENTATION

71 (no affiliation)
59 GNSO
30 GAC
17 ALAC
16 ccNSO/ccTLD
6 ASO
2 SSAC

2 RSSAC
2 ALAC
5 GAC
3 GNSO
2 gTLD Registries
4 ccNSO & non-ccNSO ccTLD operators

2 ISOC
2 NRO
2 IETF
2 IAB
2 SSAC
1 ASO
1 ICC & BASIS

THE TWO PARALLEL PROCESSES

■ **IANA Stewardship Transition**
■ **Enhancing ICANN Accountability for the Transition**

NTIA Announcement 4 March 2014

Numbers Resources
Domain Names
Protocol Parameters

Coordination Group

Cross Community Working Group
Work Stream 1
Work Stream 2

Advisors

GLOBAL DISCUSSION

ICANN Board

ICANN Board

The IANA Stewardship Transition final proposal will be transmitted to NTIA by ICANN

NTIA The current IANA functions base contract expires on 30 September 2016

# Delivery of IANA Stewardship Transition Package

At ICANN55 in Marrakech, the IANA Stewardship Transition and Enhancing ICANN Accountability proposals were submitted to the ICANN Board
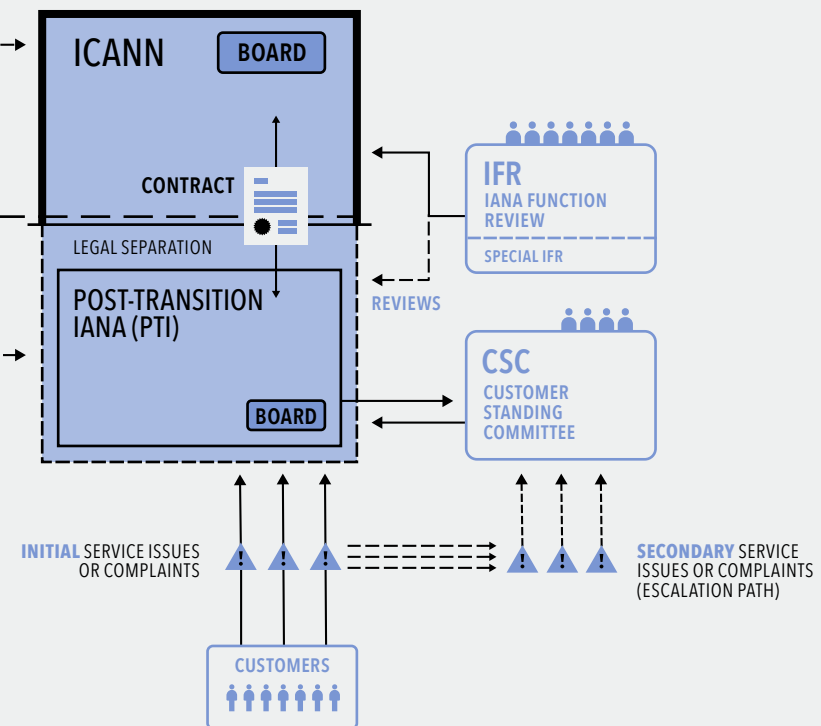

- ⊙ After launching a short review period, the ICANN Board issued resolutions to transmit both proposals to NTIA at their Board Meeting at the end of the conference
- ⊙ **The package of proposals was transmitted to NTIA on 10 March 2016,** almost exactly 2 years after the transition was announced

Proposal Overview
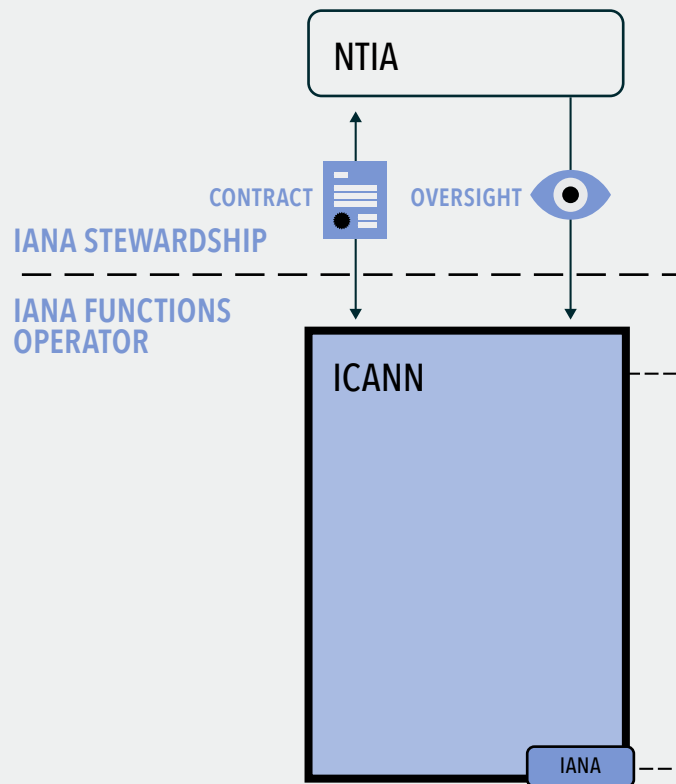
On October 1, 2016

- The contract between ICANN and the United States National Telecommunications and Information Administration (NTIA), to perform the Internet Assigned Numbers Authority (IANA) functions, has officially expired.

- This will have no impact on end users of the Internet; they will not see any changes or difference in their use of the Internet.

- At ICANN, it's business as usual. We are committed to our mission and Bylaws, reflecting the Transition proposals, which came into effect when the contract expired.

- We are pleased to be a part of the global multistakeholder model of Internet governance and want to congratulate the ICANN Community on their hard work over the past two and a half years to get us where we are today.

A Look Ahead: Phase 3
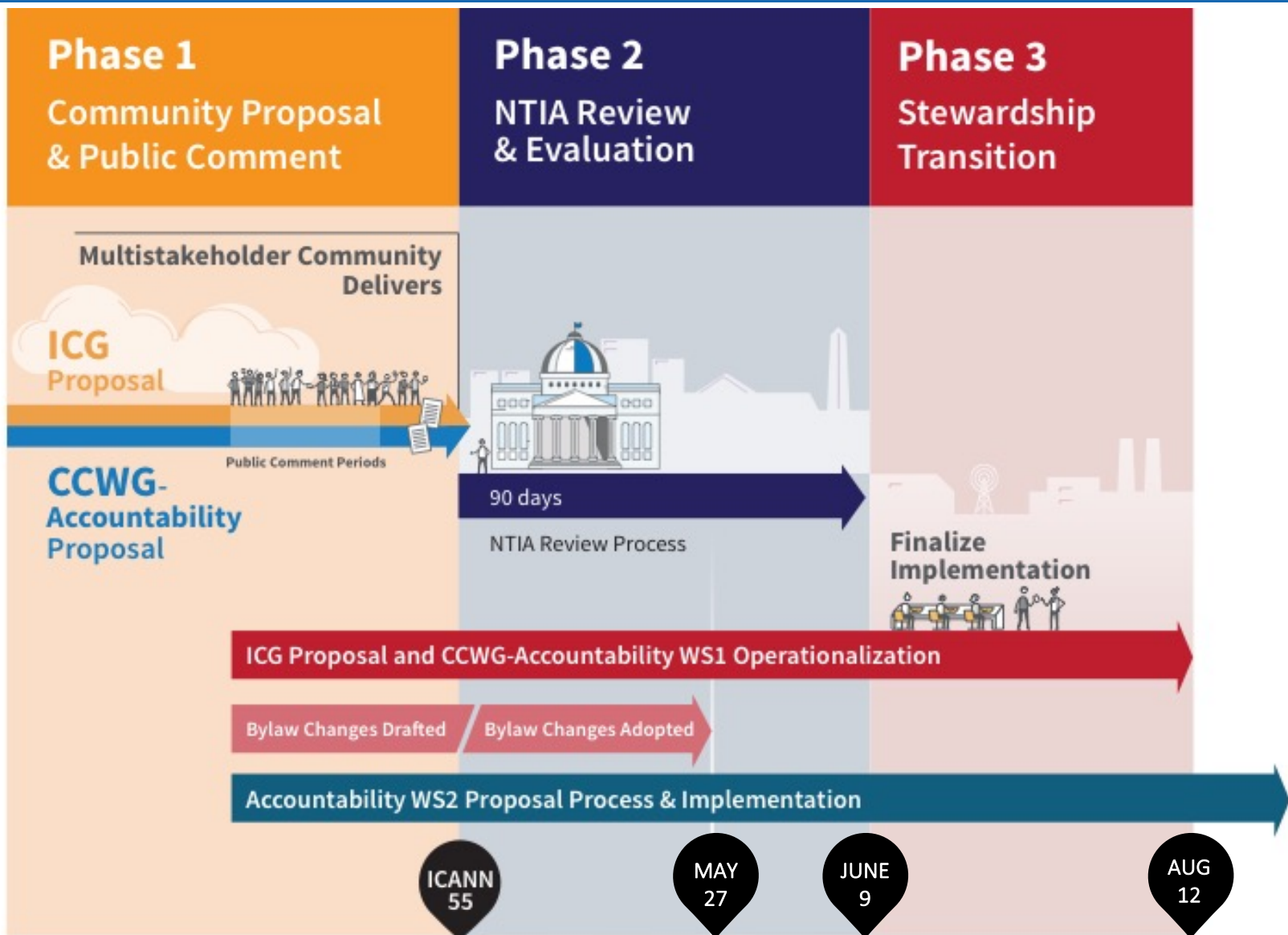
Four Building Blocks

The CCWG-Accountability identified four building blocks that would form the mechanisms required to improve ICANN's accountability.

Principles / Mission

- Limited scope of what ICANN can do; no "content regulation"

- Updated definition of role for Domain Names, Numbering Resources and Protocol Parameters

- Incorporate Affirmation of Commitment (AoC) reviews

- Commitment to Human Rights
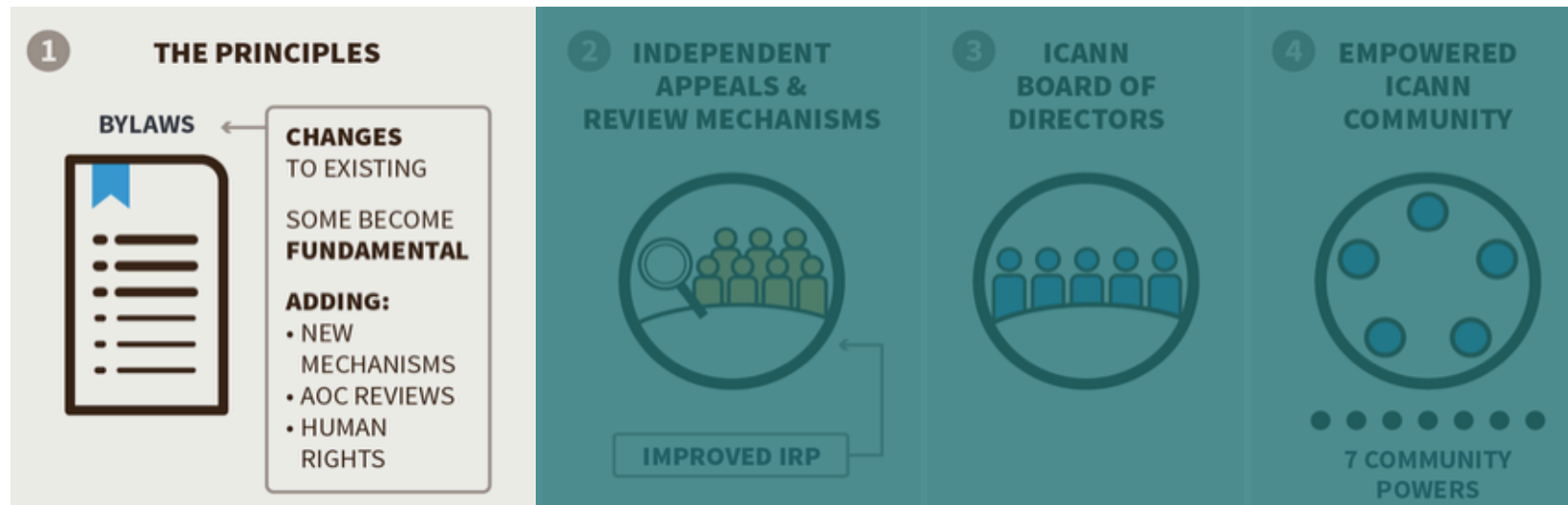
Independent Appeals & Review Mechanisms

- An enhanced Independent Review Process and redress process with a broader scope and the power to ensure ICANN stays within its Mission

- A community Independent Review Process as an enforcement mechanism further to a Board action or inaction
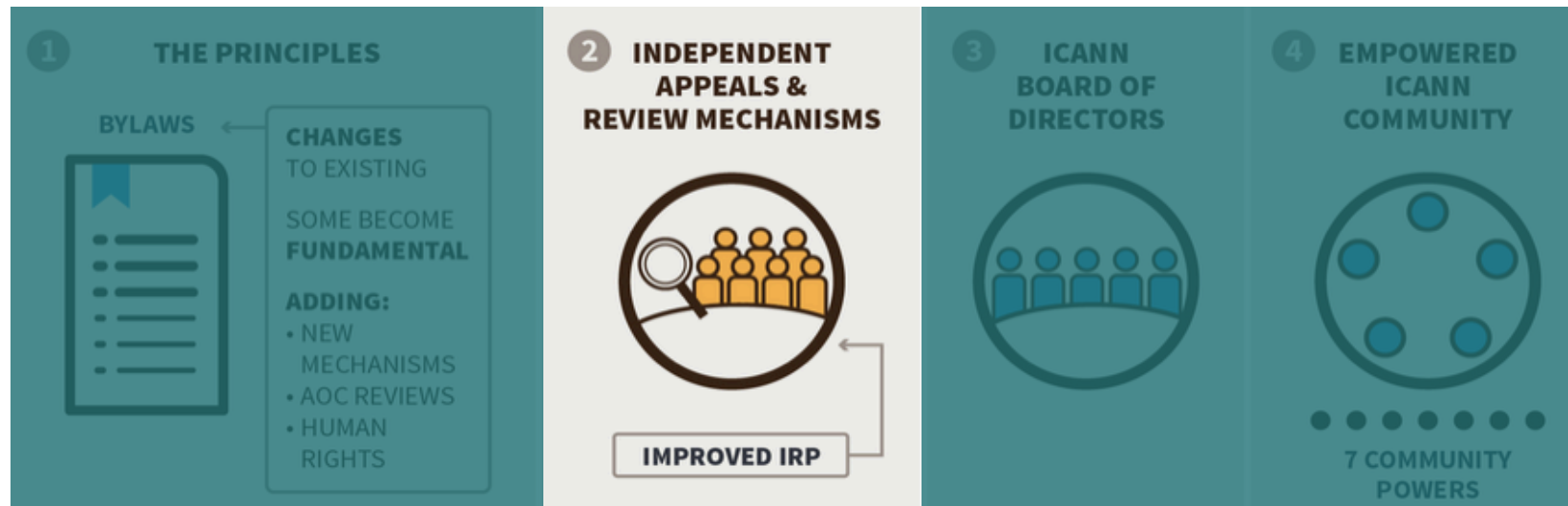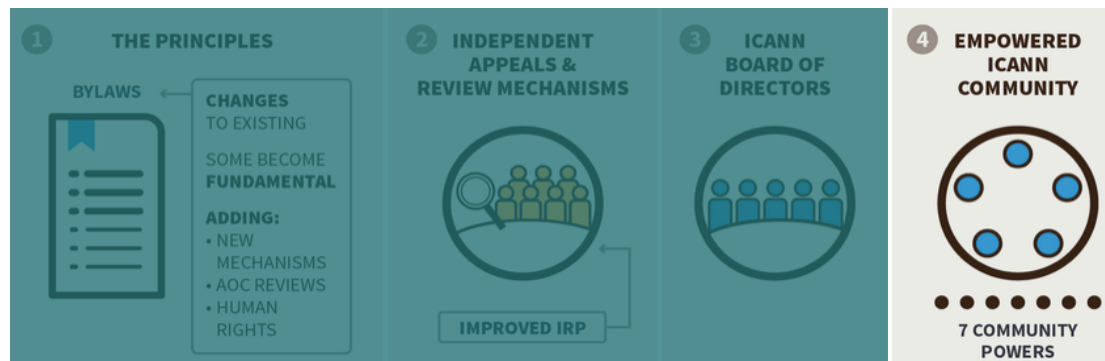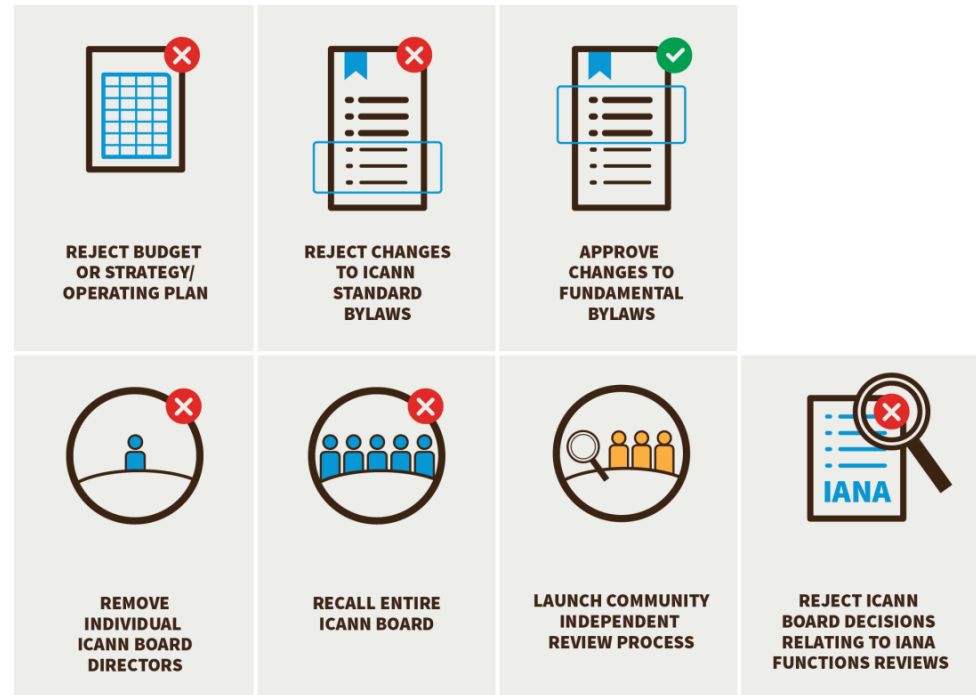
ICANN Board of Directors

- Clarify Board obligations with regards to consideration of Advice provided by the Governmental Advisory Committee (GAC)

- Include the review of Supporting Organization and Advisory Committee accountability mechanisms in the independent structural reviews performed on a regular basis

Empowered ICANN Community

- Powers exercised by five groups within the ICANN community, recognized as a "Sole Designator"

- Strong focus on Engagement and Escalation, before Enforcement

REJECT BUDGET OR STRATEGY/ OPERATING PLAN

REJECT CHANGES TO ICANN STANDARD BYLAWS

APPROVE CHANGES TO FUNDAMENTAL BYLAWS

REMOVE INDIVIDUAL ICANN BOARD DIRECTORS

RECALL ENTIRE ICANN BOARD

LAUNCH COMMUNITY INDEPENDENT REVIEW PROCESS

REJECT ICANN BOARD DECISIONS RELATING TO IANA FUNCTIONS REVIEWS

1. THE PRINCIPLES

BYLAWS ← CHANGES TO EXISTING

SOME BECOME FUNDAMENTAL

ADDING:
• NEW MECHANISMS
• AOC REVIEWS
• HUMAN RIGHTS

2. INDEPENDENT APPEALS & REVIEW MECHANISMS

IMPROVED IRP

3. ICANN BOARD OF DIRECTORS

4. EMPOWERED ICANN COMMUNITY

7 COMMUNITY POWERS

Committing to Further Accountability Work in Work Stream 2

- As part of Work Stream 2, the CCWG-Accountability proposes that further enhancements be made to a number of designated mechanisms and processes and to refine the operational details associated with some of its recommendations for Work Stream 1.

- **It is intended that Work Stream 2 will be completed by the end of 2016.**
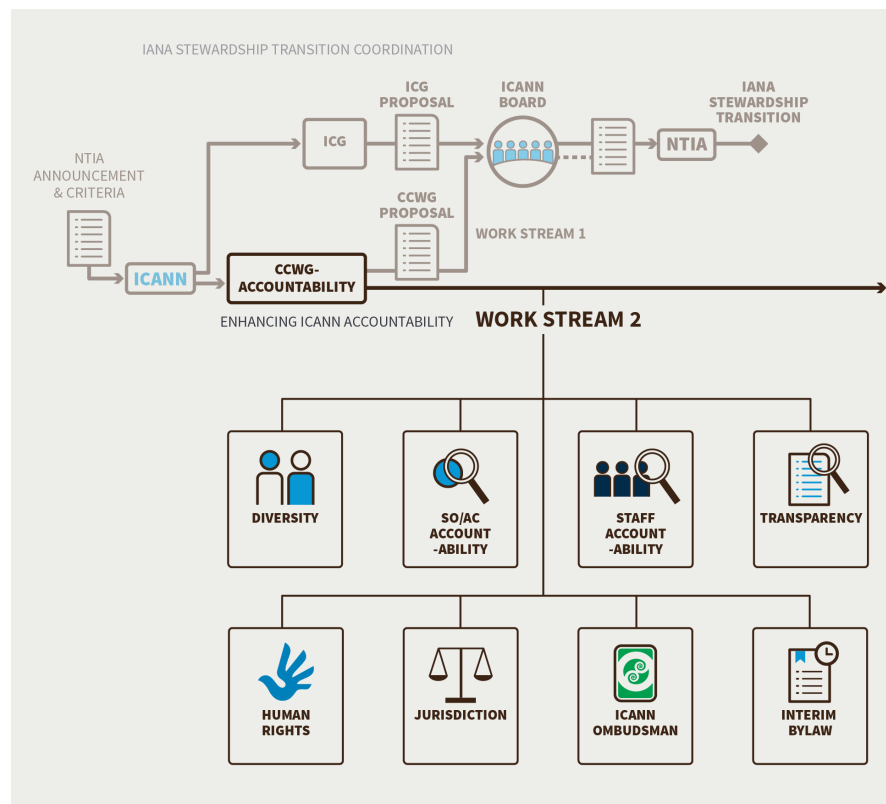
Questions?

Thank You and Questions
Website: https://www.icann.org/stewardship-accountability

twitter.com/icann

facebook.com/icannorg

IANA Stewardship Transition

https://www.icann.org/stewardship

- Latest news and information on the IANA Stewardship Transition and ICG
- Community participation information
- Resources and archives from ICG meetings

Enhancing ICANN Accountability

https://community.icann.org/category/accountability

- Latest news and information on the Enhancing ICANN Accountability process and CCWG
- Announcements and upcoming events